SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | 01.36 | | investment Co | Inparty Act of 1940 | | | | |
|--|------------------|----------------|---------------|--|-------------------|----------------------------|------------|---|-----------------|---------------|
| 1. Name and Address of Reporting Person [*] Webb Winifred Markus | | | | suer Name and Ticl M INDUSTE | 0 | Symbol /DE/ [ABM] | | lationship of Reporting Person(s) to Issuer ck all applicable) Director 10% Owner | | |
| (Last) 9330 BALBOA | (First) A AVE | (Middle) | | te of Earliest Trans 4/2020 | action (Month | /Day/Year) | | Officer (give title below) | Other below | (specify) |
| | | | 4. If A | Amendment, Date o | of Original File | d (Month/Day/Year) | | vidual or Joint/Grou | p Filing (Check | Applicable |
| (Street) SAN DIEGO | CA | 92123 | | | | | Line) X | Form filed by On Form filed by Mo Person | | |
| (City) | (State) | (Zip) | | | | | | | | |
| | | Table I - Non- | -Derivative S | Securities Acc | juired, Dis | posed of, or Benef | icially | Owned | | |
| 1. Title of Security | y (Instr. 3) | | . Transaction | 2A. Deemed | 3. Transaction | 4. Securities Acquired (A) | | 5. Amount of | 6. Ownership | 7. Nature |

| | (Month/Day/Year) | if any (Month/Day/Year) | Code (Instr. | | 5) | | | | (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
|--------------|------------------|----------------------------|--------------|---|-------------------|---------------|----------|------------------------------------|-----------------------------------|---------------------------------------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (11150. 4) | |
| Common Stock | 05/04/2020 | | Α | | 71 ⁽¹⁾ | A | \$0.0000 | 22,717 ⁽²⁾ | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (0.9., P | , | , | | , | • • | | | | , | | | |
|---|---|--|---|------------------------------|---|--|---------------------------|-------------------------------------|--------------------|-------------------------|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Dispo of (D | r osed) 7. 3, 4 | Expiration Date (Month/Day/Year) | | piration Date Amount of | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Dividend equivalent rights (DERs) accrued on restricted stock units granted under the 2006 Equity Incentive Plan. DERs vest in the same manner as the restricted stock units to which they relate. Each DER is the economic equivalent of one share of ABM common stock.

2. Includes 3,281 unvested RSUs and 9,262 vested RSUs, the receipt of which has been deferred, and DERs relating to the unvested and vested RSUs, adjusted to reflect the cumulative effect of fractional shares.

| <u>By: David R. Goldman, by</u> | 05 |
|---------------------------------|------------|
| power of attorney | <u>05/</u> |

<u>05/06/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).